

Executor Nominee Application Form

INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink, sign and date, and post the original form to us at: **Pilling & Co Stockbrokers, Henry Pilling House, Booth Street, M2 4AF.**

DETAILS OF THE DECEASED

Title First Name(s) *(in full)*

Surname National Insurance Number

Date of Birth / / Date of Death / /

Permanent Residential Address

..... Postcode

PRIMARY EXECUTOR DETAILS

Title First Name(s) *(in full)*

Surname

Date of Birth / / National Insurance Number

Permanent Residential Address

..... Postcode

Primary Telephone Secondary Telephone

Email Address ¹

¹ If you have provided an email address, your account will be set up by default for online statements, valuations, contract notes and newsletters. You will receive an email with instructions to activate your online access.

If you have not provided an email address, these documents will be sent via post. Note that this may incur a higher custody charge.

EXECUTOR(S) BANK DETAILS

Please provide your bank details below. We will send proceeds of any liquidation to these bank details.

Account Name

Account Number Sort Code - -

continue overleaf...

IDENTIFICATION

Each Executor will need to provide two different forms of identification, **one from List A**, and a **second from either list**:

List A

- Valid full UK Passport ²
- Valid full UK Driving Licence ²
- Recent evidence of entitlement to a State or Local Authority-funded Benefit
- HMRC Demand / Statement / Notification

² Certified copies are acceptable and must be prepared by a Bank, Solicitors or Post Office.

List B

- Current Council Tax Demand letter or Statement
- Current Bank Statement ³
- Current Credit/Debit Card Statement ³
- Utility Bill from the last three months ³

³ Not printed from internet.

In addition, we also require the following documents:

- Death Certificate
- Grant of Probate ⁴

⁴ Certified copies must be signed by a professional person such as a solicitor, accountant, GP, or teacher, etc.

EXECUTOR(S) INSTRUCTION

Please confirm below how you would like to process the Estate (*please tick one*)

- I/We wish to **transfer** the assets into a Nominee account(s) in the name of one or more beneficiaries ⁵
- I/We wish to deposit and **retain** the holdings in a Nominee account
- I/We wish to **liquidate** all holdings in the account and pay out **all** funds to the Executor(s)
- I/We wish to **partially liquidate** the holdings ⁵
- I/We will provide instructions separately ⁵

⁵We will require specific instructions signed by all Executors

MANAGEMENT SERVICES

If you would like information on our portfolio management services our dedicated investment management team is available to discuss our services.

Please tick the box if you would like us to contact you to discuss

continue overleaf...

NON-UK RESIDENCY

We confirm that **none** of the Executors are a US person, resident or green card holders. If you are resident for tax purposes in a country other than the UK⁶ please tell us here:

.....
⁶UK in this definition **does not include** Isle of Man, Jersey, Guernsey, Gibraltar and other Crown dependencies.

DECLARATIONS

- ✓ To the best of my knowledge, the information provided in this form is accurate. I will inform Pilling & Co of any changes that may affect this information.
- ✓ I have read, understood, and agree to the terms of this service as outlined in the Nominee Brochure and the General Terms & Conditions of Business. I acknowledge that these terms may be updated to reflect regulatory changes.

AUTHORISATIONS

I authorise Pilling & Co Stockbrokers Ltd:

- ✓ To hold my/our cash, investments, interest, dividends, and any other rights or proceeds from those investments and any other cash. I also understand that if I were to have overseas investments, my assets may be deposited in non-UK jurisdictions where my rights and protections may be reduced.
- ✓ On my/our written request, to transfer (in full or in part) or withdraw any investments, interest, dividends, rights, other proceeds, or cash held in my/our account.
- ✓ To hold my/our investments in CREST, where possible, in the name of St Anns Square Nominees Limited.

PRIMARY EXECUTOR PLEASE SIGN HERE

Name Signature Date / /

