

# Certificated Dealing Application Form

PILLING & Co

## INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink.

Send signed paper forms, identification documentation and a cheque if required to:

**Pilling & Co, Henry Pilling House, Booth Street, Manchester, M2 4AF.**

Send signed scanned / photographs (all pages of the form) to: [info@pilling.co.uk](mailto:info@pilling.co.uk) or your usual Pilling contact.

## PERSONAL DETAILS

Title ..... First Name(s)(in full).....  
Surname ..... Existing Pilling Account Number .....  
Date of Birth ..... / ..... / ..... National Insurance Number .....  
Permanent Residential Address .....  
..... Postcode .....  
Primary Telephone ..... Secondary Telephone .....  
Email Address .....

If you have provided an email address, your account will be set up by default for online contract notes. You will receive an email with instructions to activate your online access.

If you have not provided an email address, the contract notes will be sent via post.

## BANK DETAILS

Please provide your bank details below.

Account Name .....  
Account Number ..... Sort Code ..... - ..... - .....

## IDENTIFICATION

Please provide two different forms of identification, **one from List A**, and a **second from either list**:

### List A

- Valid full UK Passport  
(or certified copy)\*
- Valid full UK Driving Licence  
(or certified copy)\*
- Recent evidence of entitlement to a  
State or Local Authority-funded Benefit
- HMRC Demand / Statement /  
Notification

*\* Certified copies must be signed by a professional  
person such as a solicitor, accountant, GP, or teacher,  
etc.*

### List B

- Current Council Tax Demand letter or  
Statement
- Current Bank Statement\*
- Current Credit/Debit Card Statement\*
- Utility Bill from the last three months\*

*\* Not printed from internet.*

## FUNDS

How would you like to provide funds? *(please tick one)*

- ☐ I enclose a cheque made payable to 'Pilling & Co'.
- ☐ I have/will make a bank transfer payment for £..... to fund this service\*.

\* Payments should be made payable to:

HSBC Bank / Pilling & Co Client Free Money / Account Number: 83692450 / Sort Code: 40-05-30

Please use your client account number as reference, or your name if you are a new client.

## INVESTMENTS

For your funds to be invested straight away, please write below your investment choice(s) and the amount(s) to be put into each stock/security.

For Investment Trust purchases investors must confirm that they have read the Key Information Document (KID) before we can proceed with the investment.

| Name of Stock/Security | Quantity or Value (£) | KID*                     |
|------------------------|-----------------------|--------------------------|
| .....                  | .....                 | <input type="checkbox"/> |
| .....                  | .....                 | <input type="checkbox"/> |

\*Tick to confirm that you have read the KID

## NON-UK RESIDENCY

I confirm that I am not a US person, resident or green card holder. If you are resident for tax purposes in a country other than the UK (UK in this definition excludes Isle of Man, Jersey, Guernsey, Gibraltar and other Crown dependencies) please tell us here.

Country .....

If you are tax resident in a country other than the UK, please enter your Tax Identification Number (TIN).

Tax Identification Number (TIN) .....

## DECLARATIONS

- ✓ To the best of my belief the detail in this form is correct, and I will inform Pilling & Co of any change that may affect this information.
- ✓ I agree to Pilling & Co's General Terms & Conditions.

## AUTHORISATIONS

I authorise Pilling & Co Stockbrokers Ltd:

- ✓ To temporarily deposit certificated stocks / securities and hold them in CREST, in the name of St Anns Square Nominees Limited in order to complete my instruction(s).

## PLEASE SIGN HERE

Name ..... Signature ..... Date ..... / ..... / .....

**Please Note:** For us to accept instructions on this account from a third party (e.g. spouse, partner etc.) please enclose a third party authority form with this form, unless a third party authority is already in place.



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