

# Stocks & Shares ISA Application Form Tax Year 2026/27

## INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink.

Send signed paper forms, identification documentation and a cheque if required to:

**Pilling & Co, Henry Pilling House, Booth Street, Manchester, M2 4AF.**

Send signed scanned / photographs (*all pages of the form*) to: [info@pilling.co.uk](mailto:info@pilling.co.uk) or your usual Pilling contact.

## PERSONAL DETAILS

Title ..... First Name(s) (*in full*) .....

Surname ..... Existing Pilling Account Number .....

Date of Birth ..... / ..... / ..... National Insurance Number .....

Permanent Residential Address .....

..... Postcode .....

Primary Telephone ..... Secondary Telephone .....

Email Address <sup>1</sup> .....

<sup>1</sup> If you have provided an email address, your account will be set up by default for online statements, valuations, contract notes and newsletters. You will receive an email with instructions to activate your online access.

If you have not provided an email address, these documents will be sent via post. Note that this may incur a higher custody charge.

## IDENTIFICATION

Please provide two different forms of identification, **one from List A**, and a **second from either list**:

### List A

- Valid full UK Passport <sup>2</sup>
- Valid full UK Driving Licence <sup>2</sup>
- Recent evidence of entitlement to a State or Local Authority-funded Benefit
- HMRC Demand / Statement / Notification

<sup>2</sup> Certified copies are acceptable and must be prepared by a Bank, Solicitors or Post Office.

### List B

- Current Council Tax Demand letter or Statement
- Current Bank Statement <sup>3</sup>
- Current Credit/Debit Card Statement <sup>3</sup>
- Utility Bill from the last three months <sup>3</sup>

<sup>3</sup> Not printed from internet.

## BANK DETAILS

Please provide your bank details below.

Account Name .....

Account Number ..... Sort Code ..... - ..... - .....

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**FUNDS**

Please note that you may subscribe up to **£20,000** in the current tax year.

How would you like to provide funds? *(please tick all that apply)*

- I enclose a cheque made payable to 'Pilling & Co'.
- I have/will make a bank transfer payment for £..... to fund this service <sup>4</sup>.
- I wish to "Bed & ISA" a holding into my ISA *(provide details in the Bed & ISA section below)*.
- I wish to transfer assets from another broker *(please complete our ISA Transfer Request form)*.

<sup>4</sup> Payments should be made payable to:

*HSBC Bank / Pilling & Co Client Free Money / Account Number: 83692450 / Sort Code: 40-05-30  
Please use your client account number as reference, or your name if you are a new client.*

Source of Funds *(i.e. employment, pension, asset sales etc.)* .....

I expect to trade ..... times per week/month/quarter/year *(please delete as appropriate)*

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**INVESTMENTS**

For your ISA subscription to be invested straight away, please write below your investment choice(s) and the amount(s) to be put into each stock/security.

| Name of Stock/Security | Quantity or Value (£) | KID <sup>5</sup>         |
|------------------------|-----------------------|--------------------------|
| .....                  | .....                 | <input type="checkbox"/> |
| .....                  | .....                 | <input type="checkbox"/> |

<sup>5</sup> For Investment/Unit Trust purchases investors must confirm that they have read the Key Information Document (KID) or Key Investor Information Document (KIID) before we can proceed with the investment. Please tick to confirm that you have read the KID/KIID.

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**BED & ISA**

Please complete this section if you wish to instruct a "BED & ISA" transaction.

| Name of Stock/Security | Sell - Quantity or Value (£) | Buy - Quantity or Value (£) | KID <sup>6</sup>         |
|------------------------|------------------------------|-----------------------------|--------------------------|
| .....                  | .....                        | .....                       | <input type="checkbox"/> |
| .....                  | .....                        | .....                       | <input type="checkbox"/> |

Crest transfer form(s) will need to be signed and enclosed together with any share certificates. Crest transfer form(s) can be found on our website under 'Brochures & Forms' or provided upon request.

<sup>6</sup> Please tick to confirm that you have read the KID/KIID

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**INCOME**

How would you like your income treated? *(please tick one)*

- I wish to retain any income as cash on deposit in my Pilling & Co ISA.
  - I wish to have income paid monthly into my bank or building society account.
  - I wish to have income paid quarterly into my bank or building society account.
  - I wish to reinvest income using the Pilling Dividend Reinvestment Plan *(commission of 0.5% per reinvestment applies)*.
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## DECLARATIONS

I declare that:

- ✓ I confirm that I am over 18 years of age.
- ✓ I am a UK resident for tax purposes, or I perform duties in the UK, or I am married to/in a civil partnership with a Crown employee whose duties are treated as being performed in the UK under section 28 of the Income Tax (Earnings & Pensions) Act 2003. I will notify Pilling & Co if my residency status or circumstances change.
- ✓ I confirm that I am not a U.S. person, resident, or green card holder.
- ✓ All ISA subscriptions made or to be made are solely on my behalf.
- ✓ I have not exceeded, and will not exceed, the overall ISA subscription limit within the same tax year.
- ✓ To the best of my knowledge, the information provided in this form is accurate. I will inform Pilling & Co of any changes that may affect this information.
- ✓ I have read, understood, and agree to the terms of this service as outlined in the ISA Brochure and the General Terms & Conditions of Business. I acknowledge that these terms may be updated to reflect regulatory changes.

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## AUTHORISATIONS

I authorise Pilling & Co Stockbrokers Ltd:

- ✓ To hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds from those investments and any other cash. I also understand that if I were to have overseas investments, my assets may be deposited in non-UK jurisdictions where my rights and protections may be reduced.
- ✓ To make any claims for me to relief from tax in respect of ISA investments.
- ✓ On my written request, to transfer (*in full or in part*) or withdraw any ISA investments, interest, dividends, rights, other proceeds, or cash held in my account, to be completed within a reasonable period required by Pilling & Co for processing, not exceeding 30 days.
- ✓ To hold my investments in CREST, where possible, in the name of St Anns Square Nominees Limited.

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## PLEASE SIGN HERE

Name ..... Signature ..... Date ..... /..... /.....

This application is valid for subscriptions made to a Pilling & Co Stocks & Shares ISA in this and any future tax years.

**Please note:** For us to accept instructions on this account from a third party (*e.g. spouse, partner etc.*) please enclose a third party authority form with this form, unless a third party authority is already in place.

