

Stocks & Shares ISA Application Form Tax Year 2025/26

PILLING & Co

INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink.

Send signed paper forms, identification documentation and a cheque if required to:

Pilling & Co, Henry Pilling House, Booth Street, Manchester, M2 4AF.

Send signed scanned / photographs (all pages of the form) to: info@pilling.co.uk or your usual Pilling contact.

PERSONAL DETAILS

Title First Name(s)(in full).....

Surname Existing Pilling Account Number

Date of Birth / / National Insurance Number

Permanent Residential Address

..... Postcode

Primary Telephone Secondary Telephone

Email Address

If you have provided an email address, your account will be set up by default for online statements, valuations, contract notes and newsletters. You will receive an email with instructions to activate your online access.

If you have not provided an email address, these documents will be sent via post. Note that this may incur a higher custody charge.

BANK DETAILS

Please provide your bank details below.

Account Name

Account Number Sort Code - -

IDENTIFICATION

Please provide two different forms of identification, **one from List A**, and a **second from either list**:

List A

- Valid full UK Passport
(or certified copy)*
- Valid full UK Driving Licence
(or certified copy)*
- Recent evidence of entitlement to a
State or Local Authority-funded Benefit
- HMRC Demand / Statement /
Notification

** Certified copies must be signed by a professional person such as a solicitor, accountant, GP, or teacher, etc.*

List B

- Current Council Tax Demand letter or
Statement
- Current Bank Statement*
- Current Credit/Debit Card Statement*
- Utility Bill from the last three months*

** Not printed from internet.*

FUNDS

Please note that you may subscribe up to **£20,000** in the current tax year.

How would you like to provide funds? *(please tick one)*

- ☐ I enclose a cheque made payable to 'Pilling & Co'.
- ☐ I have/will make a bank transfer payment for £..... to fund this service*.
- ☐ I wish to "BED & ISA" a holding into my ISA *(provide details in the Bed & ISA section below)*.

* Payments should be made payable to:

HSBC Bank / Pilling & Co Client Free Money / Account Number: 83692450 / Sort Code: 40-05-30

Please use your client account number as reference, or your name if you are a new client.

INVESTMENTS

For your ISA subscription to be invested straight away, please write below your investment choice(s) and the amount(s) to be put into each stock/security.

For Investment/Unit Trust purchases investors must confirm that they have read the Key Information Document (KID) or Key Investor Information Document (KIID) before we can proceed with the investment.

Name of Stock/Security	Quantity or Value (£)	KID*
.....	<input type="checkbox"/>
.....	<input type="checkbox"/>
.....	<input type="checkbox"/>

*Tick to confirm that you have read the KID/KIID

BED & ISA

Please complete this section if you wish to instruct a "BED & ISA" transaction.

Name of Stock/Security	Sell - Quantity or Value (£)	Buy - Quantity or Value (£)	KID*
.....	<input type="checkbox"/>
.....	<input type="checkbox"/>
.....	<input type="checkbox"/>

Crest transfer form(s) will need to be signed and enclosed together with any share certificates. (Crest transfer form(s) can be found on our website under 'Brochures & Forms' or provided upon request).

*Tick to confirm that you have read the KID/KIID

INCOME

How would you like your income treated? *(please tick one)*

- ☐ I wish to retain any income as cash on deposit in my ISA.
- ☐ I wish to have income paid monthly into my bank or building society account.
- ☐ I wish to have income paid quarterly into my bank or building society account.
- ☐ I wish to reinvest income using the Pilling Dividend Reinvestment Plan (commission of 0.5% per reinvestment applies).
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DECLARATIONS

I declare that:

- ✓ All subscriptions made, and to be made, belong to me.
- ✓ I am over 18 years of age.
- ✓ I have not subscribed and will not subscribe more than the overall subscription limit total to cash ISAs, stocks and shares ISAs and innovative finance ISAs in the same tax year.
- ✓ I am resident in the United Kingdom for tax or perform duties or I am married to, or in a civil partnership of a Crown employee performing duties, which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will tell Pilling & Co if I cease to be so resident or to perform such duties or my circumstances change.
- ✓ I confirm that I am not a US person, resident, or green card holder.
- ✓ To the best of my belief the detail in this form is correct, and I will inform Pilling & Co of any change that may affect this information.
- ✓ I agree to Pilling & Co's Terms & Conditions for this ISA as set out in the "ISA Brochure" (enclosed or available on request) and General Terms & Conditions and that they may alter to comply with changes to ISA regulations.

AUTHORISATIONS

I authorise Pilling & Co Stockbrokers Ltd:

- ✓ To hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds from those investments and any other cash. I also understand that if I were to have overseas investments, my assets may be deposited in non-UK jurisdictions where my rights and protections may be reduced.
- ✓ To make any claims for me to relief from tax in respect of ISA investments.
- ✓ On my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash.
- ✓ To hold my ISA investments in CREST, where possible, in the name of St Anns Square Nominees Limited.

PLEASE SIGN HERE

Name Signature Date / /

This application is valid for subscriptions made to a Pilling & Co "Stocks & Shares" ISA in this and any future tax years.

Please note: For us to accept instructions on this account from a third party (e.g. spouse, partner etc.) please enclose a third party authority form with this form, unless a third party authority is already in place.



Pilling & Co Stockbrokers Ltd. is Authorised & Regulated by the Financial Conduct Authority No. 652114
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