

External ISA Additional Permitted Subscription Form

PILLING & Co

INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink, sign and date, and post the original form to us at: **Pilling & Co Stockbrokers, Henry Pilling House, Booth Street, M2 4AF.**

Please note if you are a new Pilling & Co ISA client you will also need to complete our ISA Application Form.

APPLICANT DETAILS

Title First Name(s) (*in full*)

Surname Existing Pilling Account Number

Date of Birth / / National Insurance Number

Permanent Residential Address

..... Postcode

Primary Telephone Secondary Telephone

Email Address

DETAILS OF THE DECEASED

Title First Name(s) (*in full*)

Surname Existing Pilling Account Number

Date of Birth / / National Insurance Number

Date of Death / / Date of Marriage or Civil Partnership / /

Permanent Residential Address

..... Postcode

TRANSFER FROM EXISTING MANAGER

I apply to make an ISA Additional Permitted Subscription (APS) from:

Existing Manager Account Number.....

Address

..... Postcode

Email and/or Phone

continue overleaf...

Please tick appropriate box:

- Please transfer the ISA Additional Permitted Subscription allowance to Pilling & Co and forward to them the required declaration
- Please transfer the ISA Additional Permitted Subscription allowance to Pilling & Co and make payment to them of the full allowance by cheque payable to Pilling & Co. Please forward them the required declaration.

Please note: if the value of the assets at the time of transfer is lower than the value at the date of death, you may contribute the difference as part of the APS allowance. We will inform you of the applicable amount, should you wish to make a bank transfer or send a cheque.

DECLARATIONS

I declare that:

- ✓ I am 16 years of age or over.
 - ✓ I am the surviving spouse or civil partner of the deceased.
 - ✓ I was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death.
 - ✓ The subscription is made under the provisions of regulation 5DDA of the ISA regulations.
 - ✓ The subscription is being made:
 - In the case of in-specie transfers, within 180 days of beneficial ownership passing to the surviving spouse or civil partner.
 - In the case of cash subscription, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate.
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APPLICANT PLEASE SIGN HERE

Name Signature Date / /

Please Note: In order to complete the APS transfer we require sight of the death certificate and/or original or certified copy Grant of Probate. If no probate is issued, we will require sight of the enduring Will and instructions signed as indicated on the Will.

continue overleaf...

EXECUTOR AUTHORITY

I/We as Executor(s) of the Estate authorise the transfer of assets held in the deceased ISA account into the applicants ISA via an APS transfer.

Each Executor will need to provide two different forms of identification, **one from List A**, and **a second from either list**:

Name Signature Date / /

Name Signature Date / /

Name Signature Date / /

Name Signature Date / /

List A

- Valid full UK Passport ³
- Valid full UK Driving Licence ³
- Recent evidence of entitlement to a State or Local Authority-funded Benefit
- HMRC Demand / Statement / Notification

³ Certified copies are acceptable and must be prepared by a Bank, Solicitors or Post Office.

List B

- Current Council Tax Demand letter or Statement
- Current Bank Statement ⁴
- Current Credit/Debit Card Statement ⁴
- Utility Bill from the last three months ⁴

⁴ Not printed from internet.

