

ISA Transfer Request

PILLING & Co

INSTRUCTIONS

Please complete this form using BLOCK CAPITALS in black ink, sign and date, and post the original form to us at: **Pilling & Co Stockbrokers, Henry Pilling House, Booth Street, M2 4AF.**

Please note if you are a new Pilling & Co ISA client you will also need to complete our ISA Application Form.

PERSONAL DETAILS

Title First Name(s) (in full)
Surname Existing Pilling Account Number
Date of Birth / / National Insurance Number
Permanent Residential Address
..... Postcode

TRANSFER FROM EXISTING MANAGER

I apply to transfer my existing ISA to Pilling & Co from:

Existing Manager Account Number
Address
..... Postcode
Email and/or Phone

If you have subscribed to this ISA in the current tax year, please specify: £.....

TO THE EXISTING ISA MANAGER

Please deduct any charges for this service from the cash in my ISA (*tick one box only*):

- ☐ Please transfer my full ISA immediately in-specie, including cash, to Pilling & Co.
- ☐ Please liquidate my full ISA immediately and transfer the cash to Pilling & Co.
- ☐ My ISA is held all in cash, please transfer this immediately to Pilling & Co.
- ☐ Please transfer part of my ISA to Pilling & Co (*please include details below or attach separately*).

Cash £.....

Investments

.....
.....
.....
.....
.....

DECLARATIONS

I declare that:

- ✓ All subscriptions made, and to be made, belong to me.
- ✓ I am over 18 years of age.
- ✓ I have not subscribed and will not subscribe more than the overall subscription limit total to cash ISAs, stocks and shares ISAs and innovative finance ISAs in the same tax year.
- ✓ I am resident in the United Kingdom for tax or perform duties or I am married to, or in a civil partnership of a Crown employee performing duties, which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will tell Pilling & Co if I cease to be so resident or to perform such duties or my circumstances change.
- ✓ I confirm that I am not a US person, resident, or green card holder.
- ✓ To the best of my belief the detail in this form is correct, and I will inform Pilling & Co of any change that may affect this information.
- ✓ I agree to Pilling & Co's Terms & Conditions for this ISA as set out in the "ISA Brochure" (enclosed or available on request) and General Terms & Conditions and that they may alter to comply with changes to ISA regulations.

AUTHORISATIONS

I authorise Pilling & Co Stockbrokers Ltd:

- ✓ To hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds from those investments and any other cash.
- ✓ To make any claims for me to relief from tax in respect of ISA investments.
- ✓ On my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash.
- ✓ To hold my ISA investments in CREST, where possible, in the name of St Anns Square Nominees Limited.

PLEASE SIGN HERE

Name Signature Date / /



Pilling & Co Stockbrokers Ltd. is Authorised & Regulated by the Financial Conduct Authority No. 652114
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