

Pilling & Co Nominee Application for Companies/Trust/ Charities

Company Name / Trust / Charity	
Nature of Business	Type of Business e.g. Incorporated, charity, bare trust
Registered Address	
Registered Number	Postcode
Tel:-	Mobile: -
Email	You must supply an email address to receive your statements, valuations, contracts and newsletters on line.
Instructions will be sent to this email address on how to set up your Client Web Access (CWA). If you do not have access to a computer or an email address, paper versions are available by ticking this box. <input type="checkbox"/>	
Legal Entity Identifier (LEI) number	Expiry date
If applicable, Client details	
Clients Title	Name (in full)
Permanent Residential Address	
Postcode	
Date of Birth DD/MM/YYYY	NI number or TIN if a non-UK EU citizen
Names of people other than directors or trustees authorised to give instructions on the account.	

Income

How would you like the income treated?

I wish to retain any income as cash on deposit in my account (See page 4)

I wish to elect for the "DRIP" scheme

I wish to have my income paid quarterly directly into my bank or building society account (See page 6) and **give details below.**

Bank details for payments to you.	A/C No. <i>8 digits only</i>
Bank	Customer ref (if applicable)
Sort Code	

Money

We authorise Pilling & Co to hold my cash (such as dividends, interest and proceeds from sales) in a deposit style account held with a FCA approved bank. I understand that if I were to have overseas investments my money may be deposited in non-UK jurisdictions where my rights and protections may be reduced.

Custody

We authorise Pilling & Co to hold my investments and register them in the name of St Anns Square Nominees Limited or a nominated sub-custodian subject to a written agreement with Pilling & Co, I understand that if I were to have assets in non-UK jurisdictions my rights and protections may be reduced and there may not be separate identification of investments.

We confirm that we are a non financial entity, exempt charity or trust

(if you are a FFI under FATCA please state your GIIN)

We confirm that no directors with a 25%+ shareholding are US persons, residents or green card holders.

We understand and agree to the terms and conditions in this brochure .

Signed	For and on behalf of
--------	----------------------

Name

Date

Signed	For and on behalf of
--------	----------------------

Name

Date

Identification Documentation

Each Director with 25%+ shareholding or trustee must provide two different forms of identification, one from **list A**, and a second from either list:

List A

Valid full UK passport (or certified copy)*
Valid full UK driving Licence (or certified copy)*
Recent evidence of entitlement to a state or local authority-funded benefit
HMRC demand/statement/notification

List B

Current council tax demand letter or statement
Current bank statement (not printed off the internet)
Current credit/debit card statement (not printed off the internet)
Utility bill from the last 3 months (not printed off the internet)

Companies we require the Certificate of incorporation if available and the Articles of association or memorandum of association that includes the following information: full name; registered number; registered office in country of incorporation; business address.

Charities we require proof of existence of charity. E.g. charity commission register entry.

Trusts we require trust deeds, original or a certified copy.*

*Certified copies must be signed by a professional person such as solicitor, accountant, GP or teacher, etc.

Transfer from current broker to Pilling & Co Nominee Service

(Not for use for ISAs, JISAs, CTFs or SIPPs)

Please return to Pilling & Co 29 Booth St. Manchester. M2 4AF

Company Name / Trust / Charity	
Registered Address	
Registered number	Postcode
Tel (Home)	E-Mail
Tel (Work)	Tel (Mobile)
Current Broker / Asset Manager details	
Firm name	Tel No
Address	Contact Name
Post Code	Your Account Number with them

We wish to transfer all my holdings Or, the holdings listed below to Pilling & Co

Number of shares	Full description of holding	Book cost

And/Or

We wish to transfer all my cash Or the following sum £ to Pilling & Co

Transfer Authority

We hereby authorise you to transfer all holdings and cash as detailed above to Pilling & Co who will provide you will registration details. Please also supply them with any information required to expedite the transfer.

Signed Date Your Pilling & Co account number

Pilling & Co are authorised and regulated by the FCA No. 652114 and are members of the London Stock Exchange

