

**STOCK  
TRANSFER  
FORM**

(Above this line for Registrar's only)

							certificate lodged with Registrar	
		Bargain Reference No:						
Consideration	£.....					(For completion by the Registrar/Stock Exchange)		
Full name of Undertaking								
Description of Security								
Amount of Stock or number of stock units or shares or other security in words.	Hundreds of Millions	Tens of Millions	Millions	Hundreds of Thousands	Tens of Thousands	Total in Figures		
	Thousands	Hundreds	Tens	Units	Part Units			
Name(s) of registered holder(s) should be given in full: the address should be given where there is only one holder. If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g.) Executor(s) of the person(s) making the transfer	in the name(s) of:-					Account Designation		
I/We hereby transfer the above security out of the name(s) aforesaid to the person(s) named below or to the several persons named in Part 2 of Brokers Transfer forms relating to the above security						Stamp of Selling Broker(s) or, for transactions which are not stock exchange transactions, of Agent(s) if any, acting for the Transferor(s)		
Signature(s) of Transferor(s)								
1. ....								
2. ....								
3. ....								
4. ....								
Bodies corporate should execute under their common seal						Date.....		
Full name(s) and postal address(es) (including County or, if applicable, Postal District Number) of the person(s) to whom the security is transferred Please State title, if any, or whether Mr, Mrs, Ms or Miss								
I / We request that such entries be made in the register as are necessary to give effect to this transfer.								
stamp of buying Broker(s) if any				Stamp or name and address of person lodging this form (if other than the buying broker)				

**PLEASE  
SIGN  
HERE**



**FORM OF CERTIFICATE REQUIRED – TRANSFERS NOT CHARGEABLE WITH  
AD VALOREM STAMP DUTY**

**Complete Certificate 1 if:**

- the consideration you give for the shares is £1,000 or less and the transfer is not part of a larger transaction or series of transactions (as referred to in Certificate 1).

**Complete Certificate 2 if:**

- the transfer is otherwise exempt from Stamp Duty and you are not claiming a relief, or
- the consideration given is not chargeable consideration.

**Certificate 1**

\* Please delete as appropriate

I/We\* certify that the transaction effected by this instrument does not form part of a larger transaction or series of transactions in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.

\*\* Delete second sentence if certificate is given by transferor

I/We\* confirm that I/we\* have been authorised by the transferor to sign this certificate and that I/we\* am/are\* aware of all the facts of the transaction. \*\*

*Signature(s)*

*Description (Transferor, Solicitor, etc)*

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.....

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Date.....

.....

**Certificate 2**

\* Please delete as appropriate

I/We\* certify that this instrument is otherwise exempt from ad valorem Stamp Duty without a claim for relief being made or that no chargeable consideration is given for the transfer for the purposes of Stamp Duty.

\*\* Delete second sentence if certificate is given by transferor

I/We\* confirm that I/we\* have been authorised by the transferor to sign this certificate and that I/we\* am/are\* aware of all the facts of the transaction. \*\*

*Signature(s)*

*Description (Transferor, Solicitor, etc)*

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Date.....

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**NOTES**

- 1) You don't need to send this form to HM Revenue & Customs (HMRC) if you have completed either Certificate 1 or 2, or the consideration for the transfer is nil (in which case you must write 'nil' in the consideration box on the front of the form). In these situations send the form to the company registrar.
- 2) In all other cases – including where relief from Stamp Duty is claimed – send the transfer form to HMRC to be stamped.
- 3) Information on Stamp Duty reliefs and exemptions and how to claim them can be found on the HMRC website at [hmrc.gov.uk/sd](http://hmrc.gov.uk/sd).

# PILLING & CO

## STOCKBROKERS LTD.

### Stock Transfer Form Guidance

- The postal address to send completed forms to is:

Pilling & Co. Stockbrokers Ltd.  
Henry Pilling House  
Booth Street  
Manchester  
M2 4AF

- Please note that forms which are unclear or incomplete cannot be accepted.
- If you cannot print a form that is clear please contact us and we can send a form to you by post.
- Other contact details:
  - Phone: +44 (0)161 832 6581
  - Fax: +44 (0)161 832 0815
  - Website: <http://www.pilling.co.uk>
- The website disclaimer can be read at <http://www.pilling.co.uk/Disclaimer/>