

# Pilling & Co Stocks & Shares ISA Application Form Tax Year 18/19

Title  First Names (in full)

Surname  Your Pilling A/C number

Permanent Residential Address

Postcode

You must supply an email address to receive your statements, valuations, contracts and newsletters on line. Instructions will be sent to this email address on how to set up your Client Web Access (CWA). If you do not have access to a computer or an email address, paper versions are available by ticking this box.

Email

Tel Work:-  Home: -  Mobile: -

**Only complete the following if you are a new ISA client or you need to update your details**

Your Bank details for payments to you.

Bank

Sort Code

A/C No.  *8 digits only*

Customer ref (if applicable)

Do you have a National Insurance Number?

Yes  No  If Yes, you must enter it below

You can find your NI number from a payslip, form p45 or p60, a letter from HMRC or the DWP, or a pension book

Date of Birth  DD/MM/YYYY

Please provide two different forms of identification, one from list A, and a second from either list:

- List A**
- Valid full UK passport (or certified copy)\*
  - Valid full UK driving Licence (or certified copy)\*
  - Recent evidence of entitlement to a state or local authority-funded benefit
  - HMRC demand/statement/notification

- List B**
- Current council tax demand letter or statement
  - Current bank statement (not printed off the internet)
  - Current credit/debit card statement (not printed off the internet)
  - Utility bill from the last 3 months (not printed off the internet)

\*Certified copies must be signed by a professional person such as solicitor, accountant, GP or teacher, etc.

**I wish to open a Pilling & Co Stocks and Shares ISA and subscribe as follows:**

I enclose my cheque payable to Pilling & Co for £   
I understand I may invest up to £20,000.00 including dealing costs

I have/will make a bank transfer of £  into HSBC bank, Sort Code 40-05-30  
a/c Number 83692450 quoting my Pilling a/c number as the reference

I enclose my share certificate(s) or shareholding statement for a "BED & ISA" together with the appropriate signed transfer form(s), one form per stock (A cheque to cover costs can be included, payable to Pilling & Co)

**Dividends** (Only complete if you are a new ISA client or if you wish to change your existing instructions)

I wish to retain any dividends as cash in my ISA  I wish to elect for the "DRIP" scheme

I wish to have my dividends paid quarterly directly into my bank or building society account (give details above)

# ISA 2018/19 APPLICATION FORM

## I DECLARE THAT :-

- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA and an innovative finance ISA in the same tax year. If I open a SAS ISA with Pilling & Co, I will not open another SAS ISA in the same tax year with another manager.
- I am resident in the United Kingdom for tax or perform duties or I am the spouse of a Crown employee performing duties, which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will tell Pilling & Co if I cease to be so resident or to perform such duties or my circumstances change.
- I confirm that I am not a US person, resident or green card holder.
- To the best of my belief the detail in this form is correct, and I will inform Pilling & Co of any change that may affect this information.

## I AUTHORISE PILLING & CO STOCKBROKERS LTD :-

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds from those investments and any other cash.
- To make any claims for me to relief from tax in respect of ISA investments.
- On my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash.
- To hold my plan investments in CREST, where possible, in the name of St Anns Square Nominees Limited.
- I agree to Pilling & Co's Terms & Conditions for this plan as set out in the "Introductory Guide" (enclosed or available on request) and General Terms & Conditions and that they may alter to comply with changes to ISA regulations.

**SIGNED**

**DATE**

**New Clients Only:-** Please ensure you have enclosed your two forms of identification to avoid delaying this application.

**PLEASE NOTE :-** For us to accept instructions on this account from a third party (eg. Spouse, partner etc.) please enclose a signed letter of authority with this form, unless a letter has already been sent to us.

This application is valid for subscriptions made to a Pilling & Co "Stocks & Shares" ISA in the next and consecutive tax years.

For the plan to be invested straight away, please write below your investment choice(s) and the amount(s) to be put into each security (or only one if you prefer).

Name of Security	No of Shares	Invest £ ? Cash

**Please return this form to: - Pilling & Co Stockbrokers Ltd  
Henry Pilling House,  
29 Booth Street, Manchester. M2 4AF**