

# Pilling & Co Cash ISA and/or Stocks & Shares ISA Transfer Request

Title  First Names (in full)   
Surname  Your Pilling A/C number

Permanent Residential Address   
Postcode

You must supply an email address to receive your statements, valuations, contracts and newsletters on line. Instructions will be sent to this email address on how to set up your Client Web Access (CWA). If you do not have access to a computer or an email address, paper versions are available by ticking this box.

Email

Tel Work:-  Mobile:-  Home:-

**Only complete the following if you are a new ISA client or you need to update your details**

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Do you have a National Insurance Number? Yes  No

If Yes, you must enter it here

You can find your NI number from a payslip, form p45 or p60, a letter from HMRC, or the DWP, or a pension book

**I apply to transfer my existing ISA to Pilling & Co from: -**

Existing Managers Name & Address   
Postcode  Telephone

Your account number with existing Manager   
If you are transferring the current year's ISA please state your ISA contributions in this box £   
Please tick this box if this request is for a part transfer only

If you are transferring part of a ISA, please supply with this form full details of what is to be transferred

**To the above Plan Manager (tick one box only)** Please deduct any charges for this service from the cash in my Plan

Please transfer the above ISAs immediately in their current form to Pilling & Co  
**OR**  Please liquidate the above ISAs immediately and transfer the cash to Pilling & Co

Name  Signed  Date

**Dividends** (Only complete if you are a new ISA client or if you wish to change your existing instructions)

- I wish to retain any dividends as cash in my ISA
- I wish to elect for the "DRIP" scheme
- I wish to have my dividends paid directly into my bank or building society account (**give details overleaf**)

I am 18 years of age, or over, and resident in the UK, or perform duties, or I am the spouse of a Crown employee performing duties, which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will inform Pilling & Co if I cease to be so resident or to perform such duties or my circumstances change. I confirm that I am not a US person, resident or green card holder. All subscriptions made, and to be made, belong to me. I have not subscribed and will not subscribe more than the overall subscription limit to a cash ISA and a stocks and shares ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA

I authorise Pilling & Co Stockbrokers Ltd to hold cash, plan investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash on my behalf. I understand plan investments will be registered in CREST, where possible, in the name of St Ann's Square Nominees Limited. I authorise Pilling & Co to make on my behalf any claims to relief from tax in respect of ISA investments and on my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash. I understand that gross interest on cash balances will be credited to my account quarterly at rates fixed by Pilling & Co.

I declare that the above information is true and correct to the best of my knowledge and belief, and undertake to inform the Plan Manager of any change in circumstances affecting the information given in this form. I accept that the terms and conditions applicable to this plan may be changed to comply with any amendments made to ISA Regulations.

**Signed**

**Date**

All investors are accepted only on the basis of this form and their acceptance of the current and later revised versions of the terms and conditions in our "ISA Introductory Guide," and our General Terms & Conditions which is attached or available on request.

**New Clients Only** :- Please provide two different forms of identification, one from list A, and a second from either list:

**List A**

Valid full UK passport (or certified copy)\*  
Valid full UK driving Licence (or certified copy)\*  
Recent evidence of entitlement to a state or local authority-funded benefit  
HMRC demand/statement/notification internet)

**List B**

Current council tax demand letter or statement  
Current bank statement (not printed off the internet)  
Current credit/debit card statement (not printed off the internet)  
Utility bill from the last 3 months (not printed off the internet)

\*Certified copies must be signed by a professional person such as solicitor, accountant, GP or teacher, etc.

**Pilling & Co Stockbrokers Ltd  
Henry Pilling House  
29 Booth Street  
Manchester  
M2 4AF**

**ISA Administration Direct Line 0161 819 4850 Fax 0161 819 4848**