

Pilling & Co Stocks & Shares ISA Application Form Tax Year 09/10

Title	<input type="text"/>	First Names (in full)	<input type="text"/>
Surname	<input type="text"/>		
Permanent Residential Address	<input type="text"/>		
Postcode	<input type="text"/>	<input type="text"/>	
Tel (Home)	E-Mail		<input type="text"/>
Tel (Work)	Tel (Mobile)		<input type="text"/>
Date of Birth	<input type="text"/>	Your Pilling ISA/PEP number (if known)	<input type="text"/>

Only complete the following if you are a new ISA client

Do you have a National Insurance Number? Yes No If Yes to must enter it below

You can find your NI number from a payslip, form p45 or p60, a letter from HM Revenue & Customs, or the DWP, or your pension book

Charges (please tick one only) I elect for :-

the dividend handling fee of £6.75 per dividend

the annual fee of 0.5% (min £40 + vat max £150 + vat)

the joint annual fee with my spouse for a combined fee of 0.5% (min £60 + vat max £200 + vat)

I wish to open a Pilling & Co Stocks and Shares ISA and subscribe as follows:

I enclose my cheque payable to Pilling & Co for £
I understand I may invest up to £7200, or up to £10,200 if I was born before 5 April 1960, including dealing costs

I enclose my share certificate(s) for a "BED & ISA" together with one signed CREST transfer form per stock (A cheque to cover costs can be included, payable to Pilling & Co)

I enclose my shares from an approved all-employee savings related share option or profit sharing scheme, together with documentation detailing the exercise of option date, a signed CREST transfer form and a cheque for £23.00 payable to Pilling & Co to cover the transfer charge

Dividends (Only complete if you are a new ISA client or if you wish to change your existing instructions)

I wish to retain any dividends as cash in my ISA

I wish to elect for the "DRIP" scheme

I wish to have my dividends paid quarterly directly into my bank or building society account (give details below)

Bank Branch Sort Code

Account Number *8 digits only* Customer Ref (if required)

ISA 2009/10 APPLICATION FORM

I DECLARE THAT :-

- All subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year. If I open a SAS ISA with Pilling & Co I will not open another SAS ISA in the same tax year with another manager.
- I am resident and ordinarily resident in the United Kingdom for tax or perform duties or I am the spouse of a Crown employee performing duties, which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will tell Pilling & Co if I cease to be so resident and ordinarily resident or to perform such duties or my circumstances change.
- To the best of my belief the detail in this form is correct, and I will inform Pilling & Co of any change that may affect this information.

I AUTHORISE PILLING & CO :-

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds from those investments and any other cash.
- To make any claims for me to relief from tax in respect of ISA investments.
- On my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash.
- To hold my plan investments in CREST, where possible, in the name of St Ann's Square Nominees Limited.
- I agree to Pilling & Co's Terms & Conditions for this plan as set out in the "Introductory Guide" (enclosed or available on request) and that they may alter to comply with changes to ISA regulations.

SIGNED

DATE

PLEASE NOTE :- For us to accept instructions on this account from a third party (eg. Spouse, partner etc.) please enclose a signed letter of authority with this form, unless a letter has already been sent to us.

This application is valid for subscriptions made to a Pilling & Co "Stocks & Shares" ISA in the next and consecutive tax years.

For the plan to be invested straight away, please write below your investment choice(s) and the amount(s) to be put into each security (or only one if you prefer).

Name of Security	No of Shares	Invest £ ? Cash

Please return this form to: -

Pilling & Co. Henry Pilling House, 29 Booth Street, Manchester. M2 4AF